

A Novel Clustering Approach in Wireless Sensor Network Using Genetic Algorithm and Fuzzy Logic

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Abstract: Wireless sensor network consists of hundred or thousand sensor nodes that are connected together and work simultaneously to perform some special tasks. The restricted energy of sensor nodes is the main challenge in wireless sensor network as node energy depletion causes node death. Therefore, some techniques should be exerted to reduce energy consumption in these networks. One of the techniques to reduce energy consumptions most effectively is the use of clustering in wireless sensor networks.

There are various methods for clustering process, among which LEACH is the most common and popular one. In this method, clusters are formed in a probabilistic manner. Among clustering strategies, applying evolutional algorithm and fuzzy logic simultaneously are rarely taken into account. The main attention of previous works was energy consumption and less attention was paid to delay.

In the present proposed method, clusters are constructed by an evolutional algorithm and a fuzzy system such that in addition to a reduction of energy consumption, considerable reduction of delay is also obtained. The simulation results clearly reveal the superiority of the proposed method over other reported approaches.

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1. Introduction

The recent advances in electronics and wireless communications have created the capabilities of design and manufacturing tiny devices called sensor nodes with low power consumption, small size, reasonable prices and variety of applications used in different industries^[1-4], specifically in safety goals worldwide^[5]. These nodes, which are able to receive various data via their sensors, process, and transfer them, have lead to establish and develop network called as wireless sensor network (WSN)^[6]. A WSN includes numerous sensor nodes that are developed widely in an environment for collecting specified information^[7]. In addition, each sensor node in the WSN is typically equipped with a radio transceiver or

any other wireless telecommunications device, a small microcontroller, and an energy source such as a battery^[8]. The limitation of energy sources, which are non-replaceable and non-rechargeable, is one of major challenges in WSNs. Thus, the needful algorithms are used to minimize the energy consumptions of these networks^[9-11].

Clustering is an effective technique in reducing the energy consumption^[12]. This means that instead of each individual node sends its own data, some nodes are selected as cluster head (CH) and others, called as cluster members, choose their nearest selected cluster heads to operate. In this way, the clusters are constructed and each cluster head receives data from its cluster members.

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The cluster heads sends cluster members data to the sink after fusion, aggregation and compression^[13]. The most popular clustering algorithm such as LEACH (low energy adaptive clustering hierarchy) increases the lifetime of the network^[14-15].

As well, evolutionary algorithms in recent years have been used as successful evolution by designing intelligent models to cope with the challenges, and working together for optimization of an appropriate energy-aware objective function^[16-17].

The cluster-based issue for optimization of energy consumption and prolonging network lifetime are usually handled by evolutionary algorithms (EAs). Cluster-based evolutionary routing protocol (ERP), energy-aware ERP (EAERP), stable-aware ERP (SAERP) and threshold-sensitive energy efficient routing protocols (TERP) using DE, HSA, and SMO are some of the latest clustering algorithms developed based on EA. The EAERP has redesigned some significant features of EAs with a longer stable period and extension of lifetime with efficient energy dissipation^[18-21]. With respect to energy, EAERP is more efficient than LEACH^[22].

In the present paper, our aim is to propose a new clustering algorithm for WSNs to reduce the power consumption, the delay, and increase the lifetime of the network by using an appropriate evolutional algorithm and fuzzy inference system. The fuzzy logic has several advantages, such as working well in noisy environment with uncertain and heterogeneous values etc., which are suitable for WSNs^[22-23].

After all, the remaining sections are organized as follows. A brief review of some heuristic and meta-heuristic hierarchical routing protocols in WSN is presented in Section II. The evaluations and the simulation results of our proposed method are presented in Section III and Section IV, respectively. Finally, the conclusion is given in Section V.

2. Related Works

In LEACH approach, a node, as a cluster head with probability p , is selected^[14]. Each non-cluster head selects its cluster head so as to achieve minimum energy consumption. Cluster head roles are exchanged among the sensor nodes based on a random number from 0 to 1.

A node is selected as cluster head if its random number in the current round is less than the threshold. When LEACH approaches assume homogeneous WSNs, stable election protocol (SEP) preserves hierarchical routing in heterogeneous sensor networks, where a percentage of sensor population, with respect to the remaining normal sensors in the same network, is equipped with extra energy^[16].

In this paper, some significant results are achieved by changing the threshold, although using the nodes with additional capabilities is not always possible. In LEACH and SEP approaches, possibility and chances play important roles that should be managed to reduced levels.

Once the clustering problem is ascertained to be an NP-hard problem, various methods, such as evolutionary computation, are probed so as to propose new algorithms. A full inspection of the evolutionary clustering algorithms is reported, along with an investigation of differential evolution (DE) application as rugged, fast and full automatic clustering method which can solve the problems with the usual clustering approaches^[22]. In WSNs, computational intelligence (CI) and its main branch evolutionary algorithms (EA) have been customized to deal with several challenges in WSNs^[24]. In the present investigation, a review of the population-based meta-heuristic field for cluster-based routing in WSN is presented by focussing on evolutionary algorithms^[25].

Other population-based meta-heuristic algorithms have also been developed for the cluster-based routing problem in WSNs. The harmony search algorithm (HSA) has recently been extended to improve the longevity and reduce the energy consumption in the clustered routing of WSNs^[19,26-27]. The fitness of a harmony solution is expressed as

$$\varphi_{HSA}(Solution) = w \times f_1 + (1 - w) \times f_2 \tag{1}$$

 $f_1 = \max\{\sum\nolimits_{\forall node_i \in c_k} d(node_i, \mathit{CH}_k) \parallel c_k \parallel \}$ where is the

maximum Euclidean distance of the nodes and f_2 is the energy ratio of all the alive nodes in the network with the total current energy of the CH in the current round, defined as

$$f_2 = \sum_{i=1}^{N} E(node_i) / \sum_{j=1}^{N} E(CH_k)$$
(2)

It is noted that in EA routing methods, in addition to increase of the longevity of the network, the stability time should also be considered^[12]. It is further pointed out that common EA routing methods increase network lifetime, while reducing the stability period of the network. The stability period is an interval before the first node blackout. They could increase the stability period to an acceptable level by modifying the evaluation function.

The researchers have combined the cluster scheme with the biologically inspired routing scheme to propose the evolutionary algorithms (EAs). The EAs are used to deal with the cluster-based problem for optimization of energy consumption and prolonging the network lifetime with heterogeneity, such as energy-aware evolutionary routing protocol (EAERP)[19,28], evolutionary-based clustered routing protocol (ERP)[19], and stable-aware evolutionary routing protocol (SAERP)^[29]. The ERP redesigned some significant features of EAs, which can ensure longer stable period and extend the network lifetime with efficient energy dissipation^[30-31]. The fitness function is the primary factor used to minimize network energy consumption. The SAERP has combined the principle idea of the SEP and the EAs to mainly aim at increasing the stability of the network.

3. The Proposed Method

As mentioned above, the main challenge in WSN is the limited nodes' power supply. Thus, various schemes have been introduced for reducing energy consumption among which clustering is one of the most effective one^[32]. There are various methods for clustering, namely, meta-heuristic and evolutionary algorithms that are recently attended for use^[33]. These techniques are able to choose appropriate cluster heads during different stages to perform clustering. There are three main steps in clustering methods assisted with evolutionary algorithms. The first step is to produce initial generation that consists of several solutions for clustering. Indeed, to produce main solutions out of which each member of generation (solution) contains several cluster heads among all nodes.

After generating an initial population of individuals, by using a fitness function, each individual will be evaluated. Then, these individuals, with

pre-determined probabilities, will run through evolutionary operators (selection, recombination, mutation) to rectify their qualities. The loop of the evolutionary algorithm would continue until the termination criteria achieved. In the EAs, a complete clustered route solution is regarded as an individual, I. For a WSN with Nsensor nodes, each individual consists of N genes, the alleles of which can be either 0, 1, and -1 for non-CH nodes, CH nodes, and dead (inactive) nodes with no energy (E), respectively. Then, a population I^n , of n individual solutions can be expressed as[19]

$$\forall i \in \{1, ..., n\} \text{ and } j \in \{1, ..., N\}$$

$$I_{j}^{i} = \begin{cases} 1 & \text{if } E(node_{j}) > 0 \text{ and } node_{j} = CH \\ 0 & \text{if } E(node_{j}) > 0 \text{ and } node_{j} = non - CH \\ -1 & \text{otherwise} \end{cases}$$
(3)

Based on the probability p of the desired percentage of the CH nodes, each individual is randomly initialized with 1s and $0s^{[14-15]}$, defined as^[19]

$$I_{j} = \begin{cases} 1 & \text{if } E(node_{j}) > 0 \text{ and } random \ j \leq p \\ 0 & \text{if } E(node_{j}) > 0 \text{ and } random \ j > p \\ -1 & \text{otherwise} \end{cases}$$

$$(4)$$

A fitness value, measured by a fitness function ϕ , is linked with each individual, which determines numerically how good the individual is a solution for the routing optimization problem.

In the proposed approach, in order to improve network efficiency, we define fitness function such that in addition to energy consumption, delay and load balancing, must be taken into consideration. In WSNs, the distance traveled by packets, affects on efficiency, so we have to choose a solution that minimizes this distance^[19]. Another parameter that has to be taken into consideration is packets end-to-end delay. Thus, it is better to choose a solution for clustering to have lowest end-to-end delay.

3.1. Design of fuzzy inference system

In order to use these heterogeneous parameters, it is better to use fuzzy inference system. By using Matlab software, we have designed a fuzzy inference system, as shown in **Figure 1**, where Energy is the energy consumption, Delay denotes the end-to-end delay and Distance represents the distance that the packets travel in

an individual^[34-37].

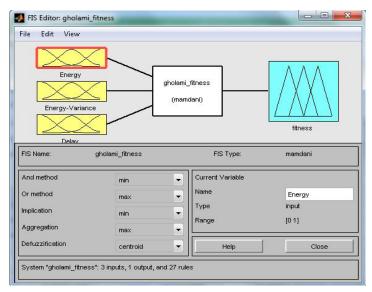


Figure 1. Fuzzy inference system.

The values of energy, delay and distance are calculated using the following expressions:

$$\varphi_{\text{Proposed}}(I^{k}) = Energy + Delay + Distance$$
 (5)

Energy =
$$(\sum_{i=1}^{nc} \sum_{s \in c_i} E_{TX_{s,CH_i}} + E_{RX} + E_{DA}) + \sum_{i=1}^{nc} E_{TX_{BS,CH_i}}$$
 (6)

$$Delay = \sum_{i=1}^{nc} \sum_{s \in c_i} \frac{distance_{CH_i,s}}{k \times 300 \times 10^6} + \sum_{i=1}^{nc} \frac{distance_{CH_i,BS}}{300 \times 10^6 \times (totalk_{CH_i})}$$
(7)

$$Distance = \sum_{i=1}^{nc} \sum_{s \in C_i} distance_{CH_i, s} + \sum_{i=1}^{nc} distance_{CH_i, BS}$$
(8)

where nc is the total number of CHs, $s \in c_i$ is a non-CHs linked with the ith CH node, $E_{TX node1 node2}$ is the energy dissipated for transmitting data from node1 to node2 over a distance d, expressed as [19]:

$$E_{TX \, node \, 1 \, node \, 2} = \begin{cases} E_{elec} * \, l + E_{fs} * \, l * \, d \, \left(node \, 1 \, node \, 2 \right)^2 \\ & \text{if} \, \, d \leq d_0 \\ E_{elec} * \, l + E_{mp} * \, l * \, d \, \left(node \, 1 \, node \, 2 \right)^4 \\ & \text{if} \, \, d > d_0 \end{cases} \tag{9}$$

where $^{E_{\rm elec}}$ ($^{E_{\rm Rx}}=E_{\rm elec} \times l$) is the energy consumed to operate the transceiver circuit set to 50 nJ/bit, $_{\rm fs}=10{\rm pJ/bit/m^2}$ and $_{\rm emp}=0.0013{\rm pJ/bit/m^4}$ are the energies spent for transmitting l-bit data to achieve an

acceptable bit error rate that depend on the transmission distance in the cases of free space model and multipath fading model, respectively^[19]. If the transmission distance is less than the threshold d_0 , the free space model is applied; otherwise, the multipath model is used. Another parameter also taken into account is the data aggregation energy expenditure, which is set to $E_{da} = 5 \text{ nJ/bit/message}$. The only parameter that has not been studied in these expressions is $^{totalk}CH_i$ which is the total packet that is sent to the ith cluster head. By equating d and d_0 in Eq. 9, we obtain the threshold transmission distance as $^{d_0 = (\epsilon_{fs}/\epsilon_{mp})^{0.5}}$.

The three inputs energy, energy deviation, and delay are converted to fuzzy language variables by fuzzy logic functions^[38-39]. After converting the input variables into fuzzy variables in the fuzzy section, by using Mamdani Inference Method and the "if-then" rules in the Table 2, a fuzzy output is obtained^[40-41]. This output is in fuzzy variable mode, which should be converted into normal mode. This work is done in the non-fuzzy section and is executed by exiting membership functions. The output of this fuzzy system shows the amount of fitness of a chromosome.

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If (Energy is High) and (Energy-Variance is High) and (Delay is High) then(fitness is Medium)(1)
If(Energy is High) and (Energy-Variance is High) and (Delay is Medium) then(fitness is Medium)(1)
If(Energy is High) and (Energy-Variance is High) and (Delay is Low) then(fitness is High)(1)
If(Energy is High) and (Energy-Variance is Medium) and (Delay is High) then(fitness is Medium)(1)
If(Energy is High) and (Energy-Variance is Medium) and (Delay is Medium) then(fitness is High)(1)
If(Energy is High) and (Energy-Variance is Medium) and (Delay is Low) then(fitness is VeryHigh)(1)
If(Energy is High) and (Energy-Variance is Low) and (Delay is High) then(fitness is High)(1)
If(Energy is High) and (Energy-Variance is Low) and (Delay is Medium) then(fitness is VeryHigh)(1)
If(Energy is High) and (Energy-Variance is Low) and (Delay is Low) then(fitness is VeryHigh)(1)
If(Energy is Medium) and (Energy-Variance is High) and (Delay is High) then(fitness is Low)(1)
If(Energy is Medium) and (Energy-Variance is High) and (Delay is Medium) then(fitness is Low)(1)
If(Energy is Medium) and (Energy-Variance is High) and (Delay is Low) then(fitness is Low)(1)
If(Energy is Medium) and (Energy-Variance is Medium) and (Delay is High) then(fitness is Low)(1)
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If(Energy is Medium) and (Energy-Variance is Medium) and (Delay is Low) then(fitness is High)(1)
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If(Energy is Medium) and (Energy-Variance is Low) and (Delay is Low) then(fitness is Very High)(1)
If(Energy is Low) and (Energy-Variance is High) and (Delay is High) then(fitness is Very Low)(1)
If(Energy is Low) and (Energy-Variance is High) and (Delay is Medium) then(fitness is Very Low)(1)
If(Energy is Low) and (Energy-Variance is High) and (Delay is Low) then(fitness is Very Low)(1)
If(Energy is Low) and (Energy-Variance is Medium) and (Delay is High) then(fitness is Very Low)(1)
If(Energy is Low) and (Energy-Variance is Medium) and (Delay is Medium) then(fitness is Very Low)(1)
If(Energy is Low) and (Energy-Variance is Medium) and (Delay is Low) then(fitness is Very Low)(1)
If(Energy is Low) and (Energy-Variance is Low) and (Delay is High) then(fitness is Very Low)(1)
If(Energy is Low) and (Energy-Variance is Low) and (Delay is Medium) then(fitness is Very Low)(1)
If(Energy is Low) and (Energy-Variance is Low) and (Delay is Low) then(fitness is Low)(1)
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Table 2. The rules of "If-Then" in the proposed method

The selection operator is next component of the proposed EAs, which selects the partners using binary tournament selection from the current population and transfers them to the mating pool for reproduction^[42-44].

To generate a mating pool of n parents, the binary tournament selects the best individual from two randomly selected individuals of the population set and repeats these process n times^[45]. A specified definition of the selection operator is as follows. Let $^{I_{i,r_i},I_{i,r_2}}$ and $\forall i \in \{1,...,n\}$ be two individuals, and r_1 and r_2 denote two uniformly distributed random numbers from the set $^{\{1,...,n\}}$, then, we can write^[19]

$$I_{i}^{'} = \begin{cases} I_{i,r_{1}} & \text{if } \varphi_{EAERP}(I_{i,r_{1}}) <= \varphi_{EAERP}(I_{i,r_{2}}) \\ I_{i,r_{2}} & \text{Otherwise} \end{cases}$$

$$(10)$$

To vary the routing solutions obtained in the

population, the recombination (R) and the mutation (M) perturbation operators can be used^[19]. For the recombination, a proportion ${}^{p}{}_{c}$ of parents' pairs in the selected population is chosen. For each pair of parents, two cut points (r_{1}, r_{2}) are randomly selected from the range $\{1, ..., N-1\}$, and the individuals of the participating parent (I_{1}, I_{2}) are then exchanged at alleles between these two points as given bellow^[19]

$$\begin{split} R_{\{pc\}} : I^{2} &\to I^{2} \\ I_{1}^{'} &= (I_{1,1}, ..., I_{1,r_{1}}, I_{2,r_{1+1}}, ..., I_{2,r_{2}}, I_{1,r_{2+1}}, ..., I_{1,N}) \\ I_{2}^{'} &= (I_{2,1}, ..., I_{2,r_{1}}, I_{1,r_{1+1}}, ..., I_{1,r_{2}}, I_{2,r_{2+1}}, ..., I_{2,N}) \end{split} \tag{11}$$

In the new individuals, each active allele is then mutated with the probability p_m . After selecting an allele for mutation, its value is reversed from 0 to 1 and vice versa^[19]

$$M_{\{pm\}}: I \to I$$

$$(\forall i \in \{1, ..., n\} \text{ and } \forall j \in \{1, ..., N\})$$

$$I_{j}^{i'} = \begin{cases} I_{j}^{i} & \text{if } I_{j}^{i} = -1 \text{ or } random > p_{m} \\ 1 - I_{j}^{i} & \text{otherwise} \end{cases}$$

$$(12)$$

The cluster formation phase produces an initial population of solutions in each round of the routing protocol, whose fitness is then determined. Based on the fitness values, by recombination and mutation operators, the parents are selected to generate a new population. Until the termination condition of the evolutionary algorithm, this process will be repeated. In the association phase, the phenotype of the best individual, best-I, among the k individuals in the population is counted as the clustering solution. The best-I can be specified as[18]

$$\phi I \in I^n : \varphi_{EAERP}(I) \le \varphi_{EAERP}(best - I)$$
(13)

4. Evaluations

To evaluate the proposed scheme, a simulator is required that implements the proposed scheme. In this paper, we use Matlab software^[34]. To evaluate the proposal, we will examine several different scenarios. We first consider a situation in which 300 sensor nodes are randomly distributed in an area. In second scenario, the number of nodes scattered randomly in the environment decreases to 200 sensor nodes in order to

evaluate changes in simulation results. The third scenario is defined as having a network of 100 sensor nodes that are randomly distributed in the environment. In all the scenarios, the primary energy is equal to 0.5 J and the nodes were randomly distributed in an area of (200×200) mm.

In order to start simulation, first initial parameters should be defined. Initial parameters contain number of nodes, deployment manner, initial energy of nodes, size of network environment, sink coordination and etc., as shown in Table 2.

The superiority^[39] and the longevity^[46-47] of the network of the most available methods in the field of WSN are reported in various articles. In order to measure network lifetime usually three criterions are used. First node die (FND) is considered as one of the main criterion^[48-49]. The FND means the time interval between network starts and first node depletes of energy. The next criterion is Half Node Dies (HND) that means the time interval between network starts and death of half of the nodes^[50-51]. The last criterion is considered as the time interval between network starts and death of all nodes or Last Node Dies (LND)^[52].

Figure 2, respectively, illustrates the obtained FND, HND, and LND values of LEACH, EAERP and the proposed clustering approaches in scenarios 1, 2, and 3. As we note, the proposed clustering approaches in terms of FND and HND has better performances than LEACH and EAERP.

Parameters	Values				
Number of sensor nodes	100, 200, 300				
Network size	(200×200) mm				
Sink coordinate	(100,100)				
Initial energy	0.5 J				
$E_{\it elec}$	50 nJ/bit				
ϵ_{amp}	0.0013 pJ/bit/m ²				
$arepsilon_{f\!\hat{s}}$	10 pJ/bit/m ²				
$E_{ m da}$	5 nJ/bit/signal				
Data packet	4000 bit				
Control packet	32 bit				

Table 2. Initial parameters

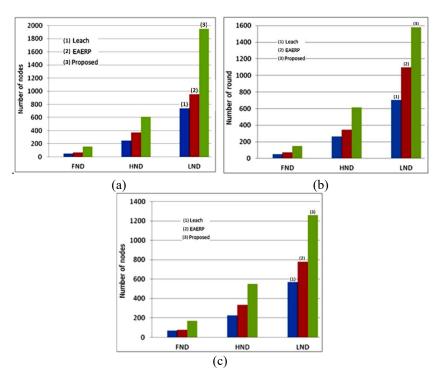


Figure 2. FNDs, HNDs, and LNDs in the proposed, LEACH, and EAERP clustering approaches (a) in scenario 1, (b) scenario 2, and (c) scenario 3.

Since the fast death of a node in a WSN is usually due to the high load on that node, it can be concluded from Figures 2a, 2b and 2c that the proposed method could greatly increase loading, because the first shut-down of the node in the proposed design occurs

much after the two methods of LEACH and EAERP^[53].

The diagrams illustrated in **Figure 3** show the amount of energy consumptions, delay, and dead nodes in each round in the first scenario, respectively^[53-56].

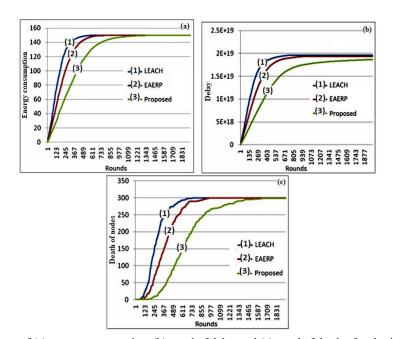


Figure 3. Comparison of (a) energy consumption, (b) trend of delay and (c) trend of death of nodes in the proposed, LEACH, and EAERP clustering approaches in scenario 1.

From **Figure 3**, we note that number of dead nodes in each round in the proposed method is less than that of

LEACH and EAERP methods. Also, the amount of energy consumptions in LEACH and EAERP in each

round is more than the proposed method. Moreover, end-to-end delay in the proposed method is less than that of LEACH and EAERP methods. The results in **Figure 4**, respectively, show energy consumptions, delay, and dead

nodes at each round in the second scenario. As in the first scenario, based on measured metrics in second scenario, the proposed method has a better performance than LEACH and EAERP approaches.

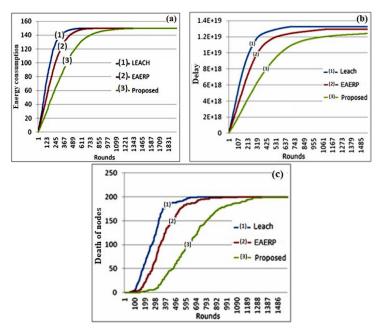


Figure 4. Comparison of (a) energy consumption, (b) trend of delay and (c) trend of death of nodes in the proposed, LEACH, and EAERP clustering approaches in scenario 2.

The amount of energy consumptions in each round of network execution, delay, and dead nodes in each round in the third scenario are shown in **Figure 5.** The final results of the proposed scheme for the three scenarios are presented in Table 3. We note that in the first scenario, the proposed scheme improves the useful life of the network by 68% and 59% for FND, and 60 % and 39 % for HND compared to the LEACH and EAERP algorithms. In the

energy benchmark, the proposed scheme is 43% and 30% better than the LEACH and EAERP algorithms, respectively. The reason for this improvement is that the load balancing is done at the network level, resulting in a decrease in total energy consumption. The improvements in the proposed scheme in the second and third scenarios with respect to LEACH and EAERP are comparatively significant as given in **Table 3**.

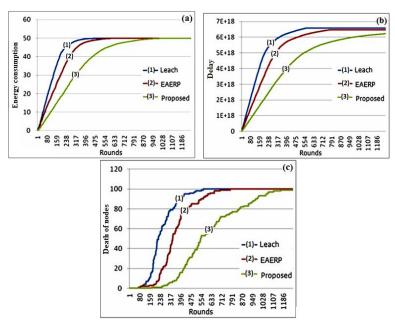


Figure 5. Comparison of (a) energy consumption, (b) trend of delay, and (c) trend of death of nodes in the proposed, LEACH, and EAERP clustering approaches in scenario 3.

Improvement in comparison with:	Scenario 1			Scenario 2			Scenario 3			
	Energy	HND	FND	Energy	HND	FND	Energy	HND	FND	
	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)	
LEACH	43	60	68	64	89	60	45	57	65	
EAERP	30	39	59	48	76	55	38	43	52	

Table 3. Improvement of the parameters of the proposed scheme for the three scenarios with respect to LEACH and EAERP

5. Comparison with LEACH and EAREP

Our proposed algorithm, similar to the EAERP algorithm, uses the genetic algorithm, that has been used in many problems. But in the fitness function, the proposed algorithm uses fuzzy logic instead of the EAERP fitness function. In this fuzzy fitness function, to select cluster-head, the difference between energy and average energy consumption per round as well as the delay in packet transmission have been considered. This means that cluster-heads have been selected which in addition to reducing power consumption and increasing power, also reduce network delay.

It is observed in the graphs that the performance of the proposed is better than the other two algorithms, which indicates better choice of cluster-head and thus load balancing in the proposed algorithm. To achieve this better performance, the computation time will be more than LEACH and EAERP algorithms. The LEACH algorithm, chooses the best cluster-head with low

computation time. The computation of the EAERP algorithm is close to the proposed algorithm, but in the fitness function, the proposed algorithm has more computation time than the EAERP algorithm. However, in order to achieve a better performance, it is often necessary to trade off number of different factors, which in this article can be computation time. This factor does not appear to be a difficult factor with today's high speed computing systems.

6. Conclusion

The selection of a routing protocol for a WSN depends on different factors such as network lifetime and stability period. In this paper, an evolutionary algorithm with an appropriate fuzzy inference system as fitness function is proposed by considering the clustering intrinsic properties. The principle idea of the proposed method is involving of energy consumptions, delay, and distance in fitness function in order to improve network efficiency versus consumption of energy and delay.

Based on the simulation results, the performance of

proposed method was examined in terms of energy consumption, delay and trend of dead nodes. It is shown that the proposed method has better performance in comparison to LEACH and EAERP approaches as reported in the literatures.

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